

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
1950A1

In Re Application Of: **Anil Kumar; Peter C. Foller**

Serial No.
10/757,267

Filing Date
01/14/2004

Examiner
Not Assigned

Group Art Unit

Title: **POLARIZING DEVICES AND METHODS OF MAKING THE SAME**

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

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Not Assigned

Group Art Unit

POLARIZING DEVICES AND METHODS OF MAKING THE SAME

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. _____ as described below.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

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Nadine M. Kennelly

Signature of Person Mailing Correspondence

Nadine M. Kennelly

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Dated: February 9, 2004

Frank P. Mallak
Signature
Frank P. Mallak, PA
Registration No. 36,590
Agent For Applicant

PPG Industries, Inc.
One PPG Place
Pittsburgh, PA 15272

CC:

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| | | | |
|--|---|--------------------------|------------------|
| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | Complete if Known | |
| | | Application Number | 10/757,267 |
| | | Filing Date | January 14, 2004 |
| | | First Named Inventor | Kumar et al. |
| | | Art Unit | |
| | | Examiner Name | Not Assigned |
| Sheet | 1 | of | 4 |
| | | Attorney Docket Number | 1905A1 |

| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|--------------------------|--|--------------------------------|--|---|
| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Number - Kind Code ² (if known) | | | |
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| | | Country Code ³ -Number ⁴ -Kind Code ⁵ (if known) | | | | |
| | | WO 89/11674 | 11-30-1989 | Plessey Overseas Limited | | |
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| | | WO 02/058921 | 8-1-2002 | Alcat, Incorporated | | |
| | | WO 03/019270 | 3-6-2003 | Kim, Su-Jin | | |
| | | EP 1 203 967 A1 | 5-8-2002 | Rolic AG | | |

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|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
|-----------------------|--|--------------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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| | | Application Number | 10/757,267 |
| | | Filing Date | January 14, 2004 |
| | | First Named Inventor | Kumar et al. |
| | | Art Unit | |
| | | Examiner Name | Not Assigned |
| Sheet | 2 | of | 4 |
| | | Attorney Docket Number | 1905A1 |

| U.S. PATENT DOCUMENTS | | | | | |
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| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ² |
| | | Country Code ³ -Number ⁴ -Kind Code ⁵ (if known) | | | | |
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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 801.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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| | | Application Number | 10/757,267 | | |
| | | Filing Date | January 14, 2004 | | |
| | | First Named Inventor | Kumar et al. | | |
| | | Art Unit | | | |
| | | Examiner Name | Not Assigned | | |
| Sheet | 3 | of | 4 | Attorney Docket Number | 1905A1 |

| U.S. PATENT DOCUMENTS | | | | | |
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| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Number - Kind Code ² (if known) | | | |
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| Substitute for form 1449/APTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i> | | Complete if Known | | | |
| | | Application Number | 10/757267 | | |
| | | Filing Date | January 14, 2004 | | |
| | | First Named Inventor | Kumar et al. | | |
| | | Art Unit | | | |
| | | Examiner Name | Not Assigned | | |
| Sheet | 4 | of | 4 | Attorney Docket Number | 1905A1 |

| NON PATENT LITERATURE DOCUMENTS | | | |
|---------------------------------|--------------------------|--|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T ² |
| | | THOMAS BACHELS, et al., "Novel Photo-Aligned LC-Polymer Wide-View Film for TN Displays", <u>Eurodisplay</u> , (2002), pgs. 183-186. | |
| | | HUBERT SEIBERLE, CARSTEN BENECKE and THOMAS BACHELS, "Invited Paper: Photo-Aligned Anisotropic Optical Thin Films," <u>SID 03 Digest</u> , SID (2003), pgs. 1162 - 1165. | |
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| Examiner Signature | | Date Considered | |
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|-------------------------------|---|------------------------|
| Art Unit: 2873 | : | |
| Examiner: Jessica T. Stultz | : | |
| In re Application of: | : | POLARIZING DEVICES AND |
| Kumar et al. | : | METHODS OF MAKING THE |
| | : | SAME |
| Serial No.: 10/757,267 | : | |
| Filing Date: January 14, 2004 | : | Confirmation No: 3600 |

SECOND SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicants, in accordance with the duty of disclosure pursuant to 37 C.F.R. § 1.56, hereby advise the United States Patent and Trademark Office ("USPTO") of the references listed on the accompanying form PTO/SB/08A (substitute for form 1449A/PTO) "Information Disclosure Statement." Copies of the cited foreign patent documents are enclosed herewith.

Applicants note that, under 37 C.F.R. § 1.97(h), the filing of this Information Disclosure Statement "shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b)."

Applicants further note that the filing of this Information Disclosure Statement is not an admission that the references cited herein constitute prior art with respect to the above-captioned application.

Applicants have enclosed herewith the fee according to 37 C.F.R. § 1.17(p) as required for consideration of the Information Disclosure Statement under 37 C.F.R. § 1.97(c)(2). The Commissioner is authorized to charge any additionally required fees deemed necessary for consideration of this Information Disclosure Statement to Account No. 16-2025.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read "Linda Pingitore", is written over a horizontal line.

Linda Pingitore
Reg. No. 30,414
Phone: 412.434.3704
Fax: 412.434.4292

Pittsburgh, Pennsylvania 15272
October 11, 2005

| | | | | | |
|--|---|--------------------------|--------------|---------------------|--------|
| SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i> | | Complete if Known | | | |
| | | Application Number | 10/757,267 | | |
| | | Filing Date | 01-14-2004 | | |
| | | First Named Inventor | Kumar et al. | | |
| | | Art Unit | 2873 | | |
| | | Examiner Name | Stultz | | |
| Sheet | 1 | of | 1 | Attorney Docket No. | 1905A1 |

| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|--------------------------|---|--------------------------------|---|--|
| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Number - Kind Code ² (if known) | | | |
| | | 2005/0146680 A1 | 07-07-2005 | Muisener et al. | |
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| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|--------------------------|--|-----------------------------------|---|--|----------------|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ² |
| | | Country Code ³ -Number ⁴ -Kind Code ⁵ (if known) | | | | |
| | | WO 2005/085912 A1 | 09-15-2005 | Essilor International | | |
| | | WO 2005/084826 A1 | 09-15-2005 | Essilor International | | |

| | | | |
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| Examiner Signature | | Date Considered | |
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|---|---|--------------------------|
| In re Application of | : | PATENT APPLICATION |
| Anil Kumar et al. | : | |
| Serial No.: 10/757,267 | : | Group Art Unit: 1712 |
| Filed: January 14, 2004 | : | Examiner: Jessica Stultz |
| Title: POLARIZING DEVICES AND METHODS OF MAKING THE SAME | : | Docket No: 1905A1 |

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

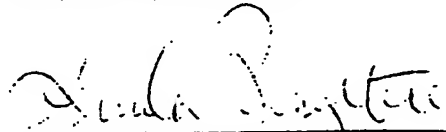
Mail Stop Amendment
Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The documents listed on the attached Form PTO-1449 are brought to the attention of the United States Patent and Trademark Office with respect to the application identified above in accordance with the provisions of 37 CFR 1.56, 1.97, and 1.98. Also, documents cited in the International Search Report for the related International Application No. PCT/US2004/037516 are included. Copies of the International Search Report and the documents listed are enclosed herewith.

It is requested that the Examiner consider these documents and that it be made of record in the application.

Respectfully submitted,



LINDA PINGITORE, ESQ.
Registration No. 30,414
Telephone No. (412) 434-3704
Facsimile No. (412) 434-4292

Pittsburgh, Pennsylvania
April 19, 2005

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| | | Application Number | 10/757,267 |
| | | Filing Date | January 14, 2004 |
| | | First Named Inventor | Anil Kumar et al. |
| | | Art Unit | 1712 |
| | | Examiner Name | Jessica Stultz |
| Sheet | 1 | of | 5 |
| | | Attorney Docket Number | 1905A1 |

| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|--------------------------|---|--------------------------------|---|---|
| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Number - Kind Code ² (if known) | | | |
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| | | 2,334,446 | 11-16-1943 | Serrell | |
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| | | 3,276,316 | 10-04-1966 | Makas | |
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| | | 5,204,850 | 06-18-1991 | Broer et al. | |
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| | | Application Number | 10/757,267 |
| | | Filing Date | January 14, 2004 |
| | | First Named Inventor | Anil Kumar et al. |
| | | Art Unit | 1712 |
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